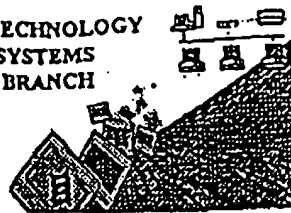


BIOTECHNOLOGY  
SYSTEMS  
BRANCHRAW SEQUENCE LISTING  
ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/787,016A  
 Source: FW/6  
 Date Processed by STIC: 1/6/05

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<<http://www.uspto.gov/efb/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box-1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby,  
Room 1B03, Arlington, VA 22202

Revised 05/17/04



IFW16

## RAW SEQUENCE LISTING

DATE: 01/06/2005

PATENT APPLICATION: US/09/787,016A

TIME: 16:22:43

Input Set : A:\SEQLISTING46309-253995.txt

Output Set: N:\CRF4\01062005\I787016A.raw

4 <110> APPLICANT: Consejo Superior de Investigaciones Cientificas  
 6 <120> TITLE OF INVENTION: New Gene  
 8 <130> FILE REFERENCE: DGB/DE/PCT131  
 C--> 10 <140> CURRENT APPLICATION NUMBER: US/09/787,016A  
 C--> 11 <141> CURRENT FILING DATE: 2001-08-30  
 13 <150> PRIOR APPLICATION NUMBER: SE 9803069.5  
 14 <151> PRIOR FILING DATE: 1998-09-10  
 16 <150> PRIOR APPLICATION NUMBER: US 60/100,873  
 17 <151> PRIOR FILING DATE: 1998-09-17  
 E--> 19 <160> NUMBER OF SEQ ID NOS: 46 (see p. 2)  
 21 <170> SOFTWARE: PatentIn Ver. 2.1

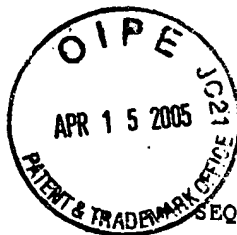
*pp 1-3*  
 Does Not Comply  
 Corrected Diskette Needed

ERRORED SEQUENCES

09/787,016A

2

<210> 6 *← last sequence in submitted file*  
<211> 16  
<212> PRT  
<213> Artificial



3

SEQUENCE LISTING

<110> Consejo Superior de Investigaciones Cientificas

<120> New Gene

<130> DGB/DE/PCT131

<150> <140> PCT/GB99/03019

<151> <141> 1999-09-10

<150> SE 9803069.5

<151> 1998-09-10

<150> US 60/100,873

<151> 1998-09-17

<160> 4

<170> PatentIn Ver. 2.1

<210> 1

<211> 2610

<212> DNA

<213> Homo sapiens

<220>

<223> This gene is referred to in the application as Human Death Inducer Obliterator Gene 1. It has now been named by the Human Gene Nomenclature Committee as Death-Associated Transcription

Factor (DATF-1)

<400> 1

*These  
are  
prior  
data*

*insert*

*<220>*

*insert ->*

*Per 1.823 of Sequence  
Rules, <223> has a  
maximum of 4  
lines. Insert another  
<220> after 4th  
line, and a <223>  
at beginning of 5th  
line.*

RAW SEQUENCE LISTING ERROR SUMMARY  
PATENT APPLICATION: US/09/787,016A

DATE: 01/06/2005  
TIME: 16:22:44

Input Set : A:\SEQLISTING46309-253995.txt  
Output Set: N:\CRF4\01062005\I787016A.raw

Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete,  
per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:5,6

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/787,016A

DATE: 01/06/2005

TIME: 16:22:44

Input Set : A:\SEQLISTING46309-253995.txt

Output Set: N:\CRF4\01062005\I787016A.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application Number  
L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:33 M:259 W: Allowed number of lines exceeded, <223> Other Information:  
L:19 M:203 E: No. of Seq. differs, <160> Number Of Sequences:Input (4) Counted (6)



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/787,016	08/30/2001	Carlos Martinez Alonso	46309-253995	8132
23594	7590	03/24/2005		
JOHN S. PRATT KILPATRICK STOCKTON LLP 1100 PEACHTREE SUITE 2800 ATLANTA, GA 30309				
EXAMINER YAEN, CHRISTOPHER H				
ART UNIT      PAPER NUMBER 1642				
DATE MAILED: 03/24/2005				

Please find below and/or attached an Office communication concerning this application or proceeding.

KS Docketing  
Docketed for: 4/24/05  
Entered on: 3/29/05  
Initials: RS  
Previously Entered: \_\_\_\_\_

RECEIVED

MAR 29 2005

**UNITED STATES DEPARTMENT OF COMMERCE****U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS

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Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
---------------------------------	-------------	---	---------------------

09/787016

ALONSO et al

EXAMINER

C. Yaen

ART UNIT	PAPER
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1642

3102005

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The communication filed on 1/6/2005 is not fully responsive for the reasons set forth on the attached Notice to Comply with the Sequence Rules or CRF Diskette Problem Report.

Since the response appears to be bona fide, but through an apparent oversight or inadvertence failed to provide a complete response, APPLICANT IS GIVEN ONE MONTH FROM THE DATE OF THIS LETTER WITHIN WHICH TO COMPLY WITH THE SEQUENCE RULES, 37 CFR 1.821-1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CFR 1.136 (g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136. In no case may an applicant extend the period for response beyond the six month statutory period. Direct the response to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the response.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher Yaen, whose telephone number is (571) 272-0838. The examiner can normally be reached on Monday through Friday from 830am to 630pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeff Siew, can be reached on (571) 272-0787. The fax phone number for this Group is (703) 305-3014 or (703) 308-4242.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (571) 272-1600.

Christopher Yaen  
Art Unit 1642  
March 10, 2005





Application No.: 09/787016

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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